

# MICHAEL G. BOLGATZ, Esq.

3-56 pm  
4-15

## PROFESSIONAL EXPERIENCE

### **The Law Offices of Michael Bolgatz, address above, December 2002 - Present Sole Practitioner**

Represent individuals and corporations involving commercial transactions, civil litigation, and other disputes; negotiating and drafting various agreements; forming corporate entities and partnerships; developing risk-avoidance business models; as well as defending individuals, both adult and juvenile, facing misdemeanor charges in Alameda County.

### **ACUMENT SECURITIES, Inc., San Francisco, CA, October 1995 - December 2002 General Counsel /Chief Legal Officer**

Hired as Staff Attorney, promoted to General Counsel of this once national retail stock brokerage with approximately 300 Registered Representatives and over 50 employees. As a board member and corporate secretary, was responsible for maintaining all corporate books and records. Defended many customer initiated arbitration claims before FINRA, JAMS, and NYSE. Formalized new corporate entities and partnerships. Filed and prosecuted trade and service marks. Dealt with state and federal regulators and other SROs on a variety of matters concerning securities brokerage firms, their Registered Representatives and employees. Involved in the merger/acquisition/sale of various corporations. Negotiated many commercial leases. Drafted and negotiated various contracts and license agreements.

### **WHITE PACIFIC SECURITIES, Inc., San Francisco, CA, December 1996 - July 2007 Staff Attorney**

Under common ownership with Acument Securities, this retail stock brokerage with approximately 100 Registered Representative specialized in Chinese speaking clientele. Worked with the business and marketing people to help obtain market presence, among other duties. Worked with Hong Kong and China based brokerages to establish a foothold in Asia.

### **COMPUTER CLEARING SERVICE, Inc., Glendale, CA, December 2000 - December 2002 Staff Attorney**

CCS was a clearing firm with approximately 40 correspondents. Help improve correspondent agreements and strengthen internal and external compliance procedures, among other duties.

### **LOMBARD INSTITUTIONAL BROKERAGE, Inc., SF, CA, October 1995 - January 1997 Staff Attorney**

Lombard was one of the first retail brokerages to offer discount and then Internet based trading platforms. Helped implement strategies designed to adhere to all regulatory requirements, capture new accounts, preserve intellectual property, and position the company for acquisition.

### **Tender Loving Things, Inc., San Leandro, CA, August 1994 - October 1995 Staff Attorney**

Worked with staff and outside counsel to preserve the company's intellectual property rights worldwide.

**Paralegal** at law firms in Boston, Santa Fe, and San Francisco. July 1986 - August 1991  
Prior to law school worked as a paralegal in practice areas that included product liability, construction defects, family law, Indian law, and personal injury.

## EDUCATION

NEW COLLEGE OF CALIFORNIA SCHOOL OF LAW, SF, CA; JD 1994, CA Admitted 1995  
UNIVERSITY OF MASSACHUSETTS, Amherst, MA; BA, Political Science 1986